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PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/769,225
Filing Date	January 30, 2004
First Named Inventor	Canfeng Lai
Art Unit	1763
Examiner Name	Alejandro Mulero, Luz L.
Attorney Docket Number	A5152D1/T39510

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):

Response to Notice of Non-Compliant Amendment
Return Postcard |
|---|---|---|
- Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP Patrick M. Boucher	Reg. No. 44,037
Signature		
Date	September 27, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

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I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date September 27, 2004 and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name: Nina L. McNeill

Signature

Date: September 27, 2004



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PATENT
Atty Docket No. A5152D1/T39510
Client Ref. No. 005152 D1
USA/DSM/HDP/CVD/JW
TTC Ref. No. 016301-039510US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: 10/769,225

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Customer No. 20350

Confirmation No. 9575

Examiner: Alejandro Mulero, Luz L.

Technology Center/Art Unit: 1763

RESPONSE TO NOTICE OF NON-
COMPLIANT AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

In response to the Notice of Non-Compliant Amendment mailed by the Patent and Trademark Office on September 13, 2004 for the above-identified patent application, attached is a substitute Preliminary Amendment that contains a complete listing of all of the claims. Applicants believe this Preliminary Amendment is now compliant.

Canfeng Lai et al.
Application No.: 10/769,225
Page 2

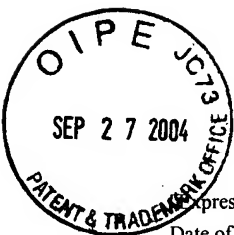
PATENT

Respectfully submitted,


Patrick M. Boucher
Reg. No. 44,037

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By: *Kevin L. McNeill*

PATENT
Atty Docket No. A5152D1/T39510
Client Ref. No.: 005152 D1
USA/DSM/HDP/CVD/JW
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Application No.: 10/769,225

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Technology Center/Art Unit: 1763

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.